Atty Docket No. ECV-5589 DIV TTC's Ref. No.: 20553C-003011US

PTO FAX NO.:

(703)746-9195

ATTENTION:

Office of Initial Patent Examination's Filing Receipt Corrections

TELEPHONE NO.:

OFFICIAL COMMUNICATION

FOR THE PERSONAL ATTENTION OF

Office of Initial Patent Examination's Filing Receipt Corrections

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Michael N. Helmus, et al., Application No. 10/700,958, filed October 31, 2003 for BIOACTIVE COATINGS TO PREVENT TISSUE OVERGROWTH ON ARTIFICIAL HEART VALVES is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

- 1. Request for Corrected Filing Receipt; and
- 2. Copy of Official Filing Receipt (marked-up)

Number of pages being transmitted, including this page: 4

Dated: March 10, 2004

Kathryn A. Degliantoni

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (415) 576-0300

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111-3834 Telephone: 415-576-0200

Fax: 415-576-0300

60162559 vi

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. 1-703-746-9195 on March 10, 2004.

PATENT Attorney Docket No.: ECV-5589 DIV TTC's Ref. No.: 20553C-003011US

TOWNSEND and TOWNSEND and CREW LLP

By: Lathryn a. Deglanton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael N. Helmus, et al.

Application No.: 10/700,958

Filed: October 31, 2003

For: BIOACTIVE COATINGS TO PREVENT TISSUE OVERGROWTH ON

ARTIFICIAL HEART VALVES

Customer No.: 20350

Confirmation No. 5993

Examiner:

Not Yet Assigned

Technology Center/Art Unit: 3738

REQUEST FOR CORRECTED FILING

RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a copy of a Filing Receipt received from the Patent and Trademark

Office in the above-identified patent application for which issuance of a corrected Filing Receipt
is respectfully requested.

Below "Assignment For Published Patent Application," please delete "Baxter Healthcare Corporation, Irvine, CA."

Respectfully submitted,

Kenneth E. Jenkins, Ph.D.

Reg. No. 51,846

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

Attachments KEJ:kad 60162558 vi MAR, 10, 2004 11:31AM

EDWARDS IRV DEPT 949 250 6850

NO. 2057



Inited States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United Reutes Potent and Trademark Office Address COMMERCONER FOR PATENTS

213 | د (213 منت

FILING OR 371 (0) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY, DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/700.958 10/31/2003 3738 788 ECV-5589 DIV 21

CONFIRMATION NO. 5993

30452 EDWARDS LIFESCIENCES CORPORATION ONE EDWARDS WAY **IRVINE, CA 92614**

FILING RECEIPT OC000000011823826*

Date Mailed: 02/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt, if an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael N. Helmus, Long Beach, CA; Crystal Cunanan, Mission Viejo, CA: Raiph Kafesjian, Newport Beach, CA; Patrice Tremble, Irvine, CA;

Assignment For Published Patent Application

Edwards Lifesciences Corporation, Irvine, CA; Bakter Healthcare Corporation: Invine, CA;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/571,987 05/16/2000 which claims benefit of 60/176,084 01/25/2000

Foreign Applications

UNITED STATES OF AMERICA PCT/US01/02621 01/25/2001

If Required, Foreign Filling License Granted: 02/02/2004

Projected Publication Date: 05/13/2004

Non-Publication Request: No

Early Publication Request: No

NO. 2057 P. 3

Title

Bioactive coatings to prevent tissue overgrowth on artificial heart valves

Preliminary Class

623

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this torm. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).